

May-16-03

14:30

From-PILLSBURY WINTHROP

703-905-2500

T-628

P.004/005

F-205

APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): LEE et al.

Appln. No.: 09 751,453

Series C de ↑ Serial No. ↑

Filed: January 2, 2001

Title: METHOD FOR FABRICATING  
CAPACITORS FOR SEMICONDUCTOR  
DEVICES

Group Art Unit 2814

Examiner: Rao, Shrinivas H.

Atty. Dkt. P 275264

HD-943/US

M#

Client Ref

R  
R C E  
EDO NOT USE FOR PROVISIONAL,  
DIVISIONAL, CIP OR DESIGN  
APPLICATIONS, OR REEXAMINATION OF  
PATENTSHon. Commissioner of Patents  
Washington, D.C. 20231Date: January 20, 2003 (Holiday)  
January 21, 2003

Sir:

**REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114**

Please continue the examination of this application.

**PREREQUISITES**This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.An issue fee has not been paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).Prosecution has been closed as defined in Rule 114(b).Reply to any outstanding action must be enclosed or previously filed.

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please ☒ enter ☐ do not enter the Amendment filed November 20, 2002
2. ☐ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed \_\_\_ and reply brief filed
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☐ The enclosed Information Disclosure Statement
 

☐ IDS Letter  
☐ PTO-1449

☐ Cited Appln  
☐ Foreign Search Report/OA  
☐ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of \_\_\_ months (3 mos. Max) for which charge the required \$130 fee (fee code 098) to our Deposit Account (see below).
7. Petition is hereby made to extend the original due date of August 20, 2002 to (1 mo) \$110/\$55  
cover the date this Request is filed. PLEASE CHARGE the requisite fee to our (2 mos) \$410/\$205 + 410  
Deposit Account (see below) (3 mos) \$930/\$465
8. PLEASE CHARGE the Rule 17(e) (RCE) filing fee of ☒ \$750 (lg. ent.) ☐ \$375 (sm. ent.) plus any deficiency and any other fee due now or later to our Deposit Account No. 03-3975 under Order No. 82118 / 275264

C# M#

**NOTE: Rule 17(e) filing fee Cannot be deferred!**  
**NO CLAIMS FEE REQUIRED** unless you are adding claims by box 2 Amendment in which case cover this with PAT-120.Pillsbury Winthrop LLP  
Intellectual Property Group

05/27/2003 CPARIS 00000006 033975 09751453

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By Atty: Glenn J. Perry

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(703) 905-2000

Atty/Sec: gjp/VVK

**NOTE: File this Request (plus enclosures, if any) in duplicat and with PTO receipt (PAT-103A)**

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PAT-252 9/02

Received from &lt; 703 905 2500 &gt; at 5/16/03 2:29:43 PM [Eastern Daylight Time]

May-16-03

14:29

From-PILLSBURY WINTHROP

703-905-2500

T-628 P.001/005 F-205

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FACSIMILE TRANSMISSIONTO: UNITED STATES PATENT AND TRADEMARK OFFICEFACSIMILE #: (703) 746-3926No. Pages (Including this page) 5 FAX Opr: \_\_\_\_\_IF YOU DO NOT RECEIVE CLEARLY ALL PAGES, PLEASE CONTACT US IMMEDIATELYBy Telephone AT: (703)905-2113 (local)  
or (703) 905-2000(local)

-&gt;-&gt;-&gt;

USPTO:

PLEASE ACKNOWLEDGE CLEAR RECEIPT OF ALL PAGES INDICATED  
ABOVE BY FAXING THIS PAGE BACK TO ONE OF OUR FACSIMILE  
NUMBERS STATED ABOVE

In re PATENT APPLICATION of

Inventor(s) LEE et al.Appln. No. 09/751,453series code ↑ serial no. ↑  
Filed: January 2, 2001Group Art Unit: 2814Examiner: Rao, Shrinivas H.Atty. Dkt. PM 275264

M#

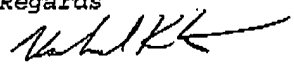
TITLE: METHOD FOR FABRICATING  
CAPACITORS FOR SEMICONDUCTOR  
DEVICESDate: May 16, 2003Name or type of signed paper being transmitted:MESSAGE:

Examiner Rao,

Per our conversation, attached please find our January 21, 2003 Request for Continued Examination filing including the stamped receipt from the Patent Office which can be found on the first page.

If you have any questions, please contact me at 703-905-2113.

Regards

  
Vishal Khatri (Reg. No. 51,873)

(ATTN: Atty/Sec.: Transmit only one paper herewith. For papers not acceptable by fax, see back side or LAN Forms Directory PAT-286 Rear. Do not file originals but fasten them in our file (left side) with this sheet and fax receipt on top. Do NOT send the originals nor a confirmation copy to the PTO.)

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Name Glenn J. PerrySig. Reg No. 51,873Date May 16, 200382118/275264

C# / M#

PAT-286 7/99

**RECEIPT FROM PTO FOR INDICATED ITEMS**

(Do NOT Use for New or Continuing Applications of Any Kind)  
Use 2 postcards for all New Applns. (cont/Div/CIP, too)  
Use this sheet when filing CPA

Appln. No: 09/751,453	Atty: Glenn J. Perry/VVK
First Inventor: LEE et al.	Date: January 21, 2003
METHOD FOR FABRICATING CAPACITORS FOR SEMICONDUCTOR DEVICES	Matter No: 275264
	Client No: 82118

**ENCLOSED:**

☐ Response/Amendment ☐ Appendix ☐ Cover Sheet ☐ Cited/Listed Documents

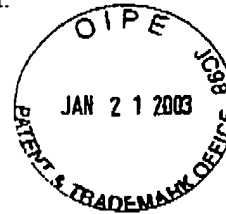
☐ Completion Request for R 53(f)/PCT Nat.

# No. of Pages Abstract

# No. of Pages Spec and Claims

# No. of Numbered Claims Only

# No. of Sheets of Drawings (Figs



☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter

☐ Declaration ☐ # of pages

☐ Assignment ☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

# ☐ No. of Priority Documents

☐ IDS Letter ☐ Cited Appln(s) ☐ Foreign Sch Rep/OA

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) in duplicate

\$  Amount Requested be Charged to our Dep. Acct. No. 03-3975

OTHER:

Current DUE DATE:

January 20, 2003 (Holiday)  
January 21, 2003

(Submit Single Copy Only)